



UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
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January 15, 2020

**Via ECF and UPS Overnight**

Hon. Edgardo Ramos  
United States District Judge  
Thurgood Marshall United States Courthouse  
40 Foley Square  
New York, NY 10007

Re: SEC v. Honig, et al.;  
No. 18 Civ. 8175 (ER)

Dear Judge Ramos:

Plaintiff Securities and Exchange Commission (“Commission”) respectfully writes to advise the Court that the parties are conferring about a resolution of the matters raised in the January 10, 2020 letter of Defendant Robert Ladd seeking a pre-motion conference. (DE 205.) We expect to submit a stipulation and proposed Order to the Court in the next few days.

Respectfully submitted,

A handwritten signature in blue ink, appearing to read "Nancy A. Brown".  
Nancy A. Brown

cc: All Defendants via ECF